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00CFORM P79-1390 U.S. DEPARTMEN OF COMMERCE PATENT AND TRADEMARK OFFICE CUSTOMER NO. 22,852 REV. 2/01T ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 08358.0005 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPL. ATION NO. **CONCERNING A FILING UNDER 35 U.S.C. 371** (If known, see 37CFR1.5) INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/EP03/08014 January 29, 2004 July 22, 2002 TITLE OF INVENTION METHOD FOR THE PRODUCTION OF AN IMMUNOSTIMULATING MUCIN (MUCI) APPLICANT(S) FOR DO/EO/US Steffen GOLETZ **Uwe KARSTEN** Applicant(s) herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a filing under 35 U.S.C 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. \boxtimes This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. \boxtimes A copy of the International Application as filed (35 U.S.C. 371 (c)(2)). \boxtimes is attached hereto (required only if not communicated by the International Bureau. a. \boxtimes b. has been communicated by the International Bureau. is not required, as the application was filed with the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). has been previously submitted under 35 U.S.C. 154 (d)(4). b. 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)). are attached hereto (required only if not communicated by the International Bureau). ь. have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information included: 11. Information Disclosure Statement under 37 CFR 1.97 and 1.98 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A FIRST preliminary amendment. 14. A SECOND or SUBSEQUENT preliminary amendment. 15. A Substitute specification. 16. П A change of power of attorney and/or address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825. 18. Ø A second copy of the published international application under 35 U.S.C. 154 (d)(4). 19. A second copy of the English language translation of the international application 35 U.S.C. 154 (d)(4). 20. \boxtimes Other items or information: a. \boxtimes Copy of the International Search Report

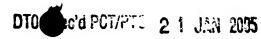
Copy of cover page of International Publication No. WO/ 2004/009632 A3

Copy of Notification of Missing Requirements.

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b.

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21. The following fees are submitted:						Applicant Use	Office Use Only
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):					\$300.00	\$300.00	
National Stage Search Fee\$500.00						\$500.00	
National Stage Examination Fee\$200.00						\$200.00	
							-
ENTER APPROPRIATE BASIC FEE AMOUNT =						\$1,000.00	
listing or comp	uter prog		ings filed in paper over n an electronic medium hereof.				
Total Sheets	Extra Sheets		Number of additional 50 or fraction thereof (rounded up to a whole number		Rate		
- 100 =	/50=			x 250.00			
			or declaration later than (37 CFR 1.492 (e)).	□ 20	□ 30		
CLAIMS	NUM	BER FILED	NUMBER EXTRA	RATE			
Total Claims	15	- 20 =	0	x \$50.00			
Independent Claims	4	-3 =	1	x \$20	x \$200.00		
MULTIPLE DEPENDENT CLAIM(S) (if applicable) +\$360.00							
TOTAL OF THE ABOVE CALCULATIONS =						\$1,200.00	
☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.							
SUBTOTAL =							
Processing fee of \$ months from the ea			English translation later (R 1.492(f)).	han 20	□ 30		
TOTAL NATIONAL FEE =							
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.							
TOTAL FEES ENCLOSED =						\$1,200.00	
						Amount to be refunded:	\$
					• •	charged:	\$
a. A check in the amount of \$ to cover the above fees is enclosed.							
b. Please charge my Deposit Account No. <u>06-0916</u> in the amount of \$ <u>1,200.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.							
c. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>06-0916</u> . A duplicate copy of this sheet is enclosed.							
d. Fees are inform:	e to be cha ation sho	arged to a credit ould not be included	card. WARNING: Info ded on this form. Prov	rmation on this for ide credit card info	rm may become pormation and auth	ublic. Credit car orization on PTO	rd -2038.
NOTE: Where an a must be filed and gr	ppropriater	e time limit under restore the applic	r 37 CFR 1.494 or 1.495 ation to pending status.	has not been met,	•	·	' (a) or (b))
SEND ALL CORE				///	· MAN	Double	
Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P. 901 New York Avenue, NW SIGNATURE							
Washington, D.C				SIGNATURE		,	
Reg. No. 34.872							
DATED: NAME/REGISTRATION NO.						- NV	

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CERTIFICATE UNDER 37 CFR § 1.10 OF MAILING BY "EXPRESS MAIL"

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I hereby certify that this correspondence is being deposited with the United States Postal Services "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on January 21, 2004 indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Rachel Venturi